PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to ection of information unless it displays a valid OMB control number. Application Number 10/814,701 TRANSMITTAL Filing Date March 20, 2004 First Named Inventor **FORM** Lawrence M. Blatt Art Unit 1648 Examiner Name MOSHER, Mary (to be used for all correspondence after initial filing) Attorney Docket Number 606319-4502US Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC **✓** Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name THELEN REID BROWN RAYSMAN & STEINER Signature amer Printed name James P. Demers Date Reg. No. September 28, 2007 34,320 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature men amo Date September 28, 2007 James P. Demers Typed or printed name

This collection of information is required by 3 ToFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete of application, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

10/814,701

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of : Lawrence M. Blatt

Serial No. : 10/814,701 Examiner: MOSHER, Mary

Filed : March 30, 2004 Art Unit: 1648

Title : Compositions and Methods For Treating Coronavirus Infection and SARS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

# Amendment and Response under 35 USC 1.111

Sir:

This is in response to the Office Action dated July 23, 2007. A response is due October 23, 2007, therefore this response is timely filed.

In the above-referenced application, please amend the claims as shown in the accompanying claim listing.

- A **claim listing** begins on page 2 of this paper.
- Remarks appear on page 4.

No fees are thought to be due with this paper. The Commissioner is authorized to charge any fees that may be due to Deposit Account No. <u>02-4270</u>, with reference to Docket No. <u>606319-4502</u>.

### Claim Listing

## 1-13. (Canceled)

- 14. (Previously Amended) A method of treating or preventing severe acute respiratory syndrome (SARS) in an individual in need thereof, the method comprising administering an effective amount of IFN-α to the individual.
- 15. (Original) The method of claim 14, wherein the IFN- $\alpha$  is administered within 24 hours of the appearance of a symptom of SARS in the individual.
- 16. (Original) The method of claim 14, wherein the IFN-α is administered within 48 hours of the appearance of a symptom of SARS in the individual.

#### 17-19. (Canceled)

- 20. (Previously Amended) A method of treating or preventing severe acute respiratory syndrome (SARS) in an individual in need thereof, the method comprising administering an effective amount of IFN- $\alpha$  and an effective amount of IFN- $\gamma$  to the individual.
- 21. (Original) The method of claim 20, wherein the IFN- $\alpha$  and the IFN- $\gamma$  are administered within 24 hours of the appearance of a symptom of SARS in the individual.
- 22. (Original) The method of claim 20, wherein the IFN- $\alpha$  and the IFN- $\gamma$  are administered within 48 hours of the appearance of a symptom of SARS in the individual.
- 23. (Original) A method of reducing the risk that an individual will develop severe acute respiratory syndrome (SARS), the method comprising administering to the individual an effective amount of IFN- $\alpha$ .

### 24. (Canceled)

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25. (Original) A method of reducing the risk that an individual will develop severe acute respiratory syndrome (SARS), the method comprising administering to the individual an effective amount of IFN- $\alpha$  and an effective amount of IFN- $\gamma$ .

- 26. (Previously Amended) The method of any one of claims 14-16, 20-23 and 25, further comprising administering an effective amount of a nucleotide analog or a nucleoside analog.
- 27. (Previously Amended) The method of any one of claims 14-16, 20-23 and 25, further comprising administering an effective amount of ribavirin.
- 28. (Previously Amended) The method of any one of claims 14-16, 20-23 and 25, wherein the IFN- $\alpha$  is a consensus interferon.

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### Remarks

<u>Claims</u>. Claims 9-16, 20-23, and 25-28-28 were pending in this application. Claims 9-13 are canceled in the present amendment. Upon entry of the amendments, claims 14-16, 20-23, and 25-28 will be pending.

In the present application, claims 1-13, 17-19, and 24 have been cancelled in order to expedite prosecution. Applicant does not disclaim the subject matter of the cancelled claims, and expressly reserves the right to pursue the subject matter of cancelled claims in continuing or subsequent applications.

Rejections under 35 USC §112. The Examiner has rejected claims 14-16, 20-23, and 25-28 under 35 USC §112,  $2^{nd}$  paragraph, on the grounds that the claimed methods of treatment are not enabled. The Examiner contends that the post-filing literature gives reason to believe that IFN- $\alpha$  "has little or no clinical effect on SARS" and that ribavirin is "probably toxic." Applicant respectfully traverses.

Stockman *et al.*, *PLOS Medicine* **3** 1525-31 (2006) has been made of record by the Examiner. Applicant refers the Examiner to page 1527, col 2, where the authors state: "Twelve *in vitro* studies with data on the antiviral effect of IFN Type I have been reported, and all demonstrated an antiviral effect against SARS-CoV (six for IFN-α and ten for IFN-β) (Tables S4 and S5)." The authors furthermore note that "Synergistic effects were reported for leucocytic IFN-α with ribavirin..." Thus, there is ample *in vitro* data supporting Applicant's statements and claims regarding the treatment of SARS with IFN-α and ribavirin.

Stockman *et al.* also report the results of treatment of SARS patients with IFN- $\alpha$  and ribavirin (see p. 1528, col. 1): "Two studies of IFN- $\alpha$  given with steroids and/or ribavirin were reported (Table S6). No significant difference was seen in outcome between IFN- $\alpha$  treatment group and those treated with other regimens. Results of both studies were inconclusive due to a lack of a consistent treatment regimen or suitable control group (Table S7)." A third Chinese study of IFN- $\alpha$  in combination with ribavirin and steroids was also described as "inconclusive" because "the variety of treatments masked the effects of IFN- $\alpha$  alone."

The Examiner is relying on studies judged 'inconclusive" to support a rejection under 35 USC 112 2<sup>nd</sup> paragraph. Applicant respectfully submits that an <u>inconclusive</u> result is very

different from a <u>negative</u> result, and that inconclusive results are not sufficient evidence to support a rejection under 35 USC 112 2<sup>nd</sup> paragraph.

The proper standard for such a rejection was set forth in *In re Marzocchi*:

"[A] specification disclosure which contains a teaching of the manner and process of making and using the invention in terms which correspond in scope to those used in describing and defining the subject matter sought to be patented must be taken as in compliance with the enabling requirement of the first paragraph of § 112 unless there is reason to doubt the objective truth of the statements contained therein which must be relied on for enabling support."

In re Marzocchi, 439 F.2d 220, 223, 169 USPQ 367, 369 (CCPA 1971)

In order to support the rejection, the Examiner must do more than assert that evidence supporting the claims is weak; the Examiner must provide references or explain the reasons for doubting the objective truth of Applicant's statements. "[I]t is incumbent upon the Patent Office, whenever a rejection on this basis is made, to explain why it doubts the truth or accuracy of any statement in a supporting disclosure and to back up assertions of its own with acceptable evidence or reasoning which is inconsistent with the contested statement."

(Marzocchi, 439 F.2d at 224, 169 USPQ at 370.) Applicant respectfully submits that the Examiner has not backed up the assertion of non-enablement with evidence or reasoning inconsistent with Applicant's statements. Specifically, the inconclusive results obtained by workers in the field, and relied upon by the Examiner, are not inconsistent with Applicant's assertions.

The Examiner also observes that ribavirin is "probably toxic". Applicant notes that ribavirin-induced anemia is a well-known side effect in the widely-accepted and highly successful use of ribavirin to treat Hepatitis C, and that physicians have long since learned to manage this side-effect in those patients who are affected. Accordingly, safe ribavirin dosing levels and effective patient monitoring protocols are well-known in the art.

The Examiner asserts that claims to the treatment of coronaviruses other than SARS are not supported by the specification, and that undue experimentation would be required in order to practice the full scope of such claims. Applicant respectfully traverses, but in the interest of expediting prosecution of the present application, claims directed to the treatment of viruses other than SARS have been cancelled.

Rejections under 35 USC §102. The Examiner has rejected claims 9-12 under 35 USC §102(a) as being anticipated by Fuchizaki *et al.*, *J. Med. Virol.* **69**:188-194 (2003). As noted above, claims 9-12 have been cancelled in the present application, and this rejection is accordingly moot.

Rejections under 35 USC §103. The Examiner has rejected claim 13 under 35 USC §103 as being obvious in view of Fuchizaki *et al.*, *J. Med. Virol.* **69**:188-194 (2003). As noted above, claim 13 has been cancelled in the present application, and this rejection is accordingly moot as well.

Double patenting. The Examiner has provisionally rejected claims 9-13, 20-23, and 25-28 on grounds of nonstatutory obviousness-type double patenting, over claims 1-13 of copending application No. 10/552,020. That application and the present application are commonly owned, and applicant will file a terminal disclaimer when allowable claims have been agreed upon in the present application.

Applicant believes that the claims as presently pending represent patentable subject matter. In view of the above remarks, the Examiner is requested to reconsider and withdraw the outstanding claim rejections. Applicant's undersigned attorney would be pleased to discuss any remaining issues in a telephone conversation, should the Examiner have any further concerns.

Respectfully submitted,

Thelen Reid Brown Raysman & Steiner, LLP

Dated: Sept 28 700#

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